

# Classification of Printed Digits

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## 1 Goal

The goal of this project is to develop a detector to classify printed digits in a frame. There will be a few different problems addressed in this report. All of these problems will be multiple hypothesis classification problems because the image can be any of 10 different digits. The case of no digit will be ignored in this project. Each problem will assume less and less is known about the digit.

## 2 Digits

Each digit will be a 5x5 pixel image set in a 20x20 pixel frame as can be seen in figure 2. Each pixel will be considered centered in the 20x20 frame such that the top left pixel of the 5x5 pixel digit is located at frame pixel location (7,7) as seen in figure 1. The pixel location will be considered as a coordinate plane with origin in the top left corner and the y axis will be positive when counting from top to bottom. Each pixel will be grayscale from 0 to 255. Without any noise the color of the digit will be in the middle at 127 and the background will be 0. For each Problem there will be 5000 test digits to test the detector's simulated performance.

## 3 Problem of known digits

The first trivial detector assumes no noise nor any kind of distortion. This detector is simply a classifier for the 10 possible digits (signals). We look at the data as a vector of 400 (20x20) values to make calculations easier. The problem then becomes:

$$\text{Under } \mathcal{H}_i : X[n] = s_i[n] \\ i = 1, 2, \dots, 10 \quad n = 1, 2, \dots, 400$$

In order to avoid indexing problems with matlab  $i = 10$  refers to digit "0". Because everything is known and there is no noise a special case of the minimum distance receiver can be

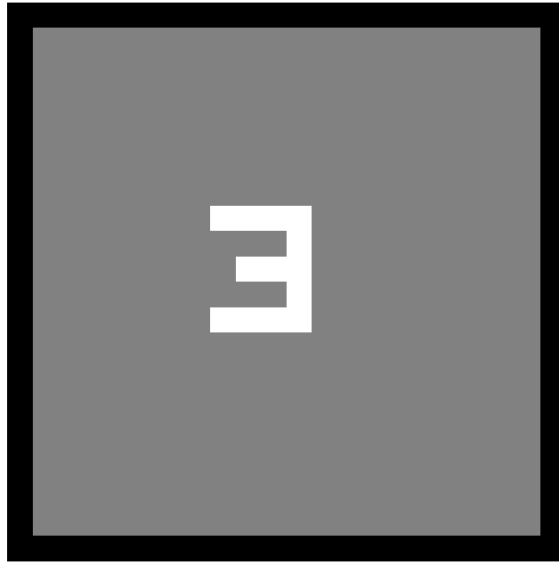


Figure 1: The number 3 centered at frame location (7,7).

used to classify the images. We would decide  $\mathcal{H}_i$  for which:

$$T(x) = \sum_{n=0}^{N-1} x[n] - s[n] = \gamma' = 0;$$

Since there is no noise and I would expect perfect detection and in fact I am not even going to simulate this. Instead I will move on to a more interesting detector.

## 4 Problem of digits embedded in noise

For the first real detector we assume that we know each digit exactly along with its size and location in the frame. The unknowns are the color of each pixel and of course which digit it is. The color of the pixel will be a RV with an approximate distribution  $\sim \mathcal{N}(127, 127)$  under  $\mathcal{H}_f$  and  $\sim \mathcal{N}(0, 127)$  under  $\mathcal{H}_b$  (where  $\mathcal{H}_f$  refers to a pixel that is part of the image

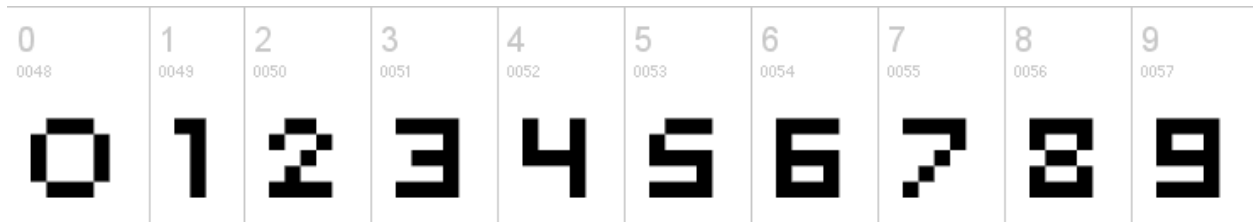


Figure 2: 5x5 digits.

foreground picture and  $\mathcal{H}_b$  refers to a pixel that is part of the image background. Those distributions are approximate because the values need to be constrained to be between 0 and 255.

Similar to the previous section we have:

$$\begin{aligned} \text{Under } \mathcal{H}_i : X[n] &= s_i[n] + w[n] \\ i &= 1, 2, \dots, 10 \quad n = 1, 2, \dots, 400 \end{aligned}$$

Notice the noise added to the signal. Where the noise  $w[n] \sim \mathcal{N}(127, 127)$ . This problem is solved using a Minimum Distance Receiver as outlined in section 4.5.1 and example 4.6 of the book. We decide  $\mathcal{H}_i$  for which:

$$T_i(x) = \sum_{n=1}^N x[n]s_i[n] - \frac{1}{2}\epsilon_i$$

is maximum. Since this is a case of 10 hypotheses the calculation for probability of error  $P_e$  will be difficult to calculate but I can provide an upper bound on the error using equation (4.28) from the book. equation (4.28) assumes the same energy  $\epsilon$  for each hypothesis in order to simplify calculation but if they are all different the error will go down.

$$P_e = 1 - \int_{-\infty}^{\infty} \Phi^{M-1}(u) \frac{1}{\sqrt{2\pi}} \exp\left[-\frac{1}{2}\left(u - \sqrt{\frac{\epsilon}{\sigma^2}}\right)^2\right] du \quad (1)$$

where  $u = (t + \frac{1}{2})/\sqrt{\sigma^2\epsilon}$ . My simulation error was  $P_e = 0$ .

## 5 Problem of unknown digit location embedded in noise

For this problem we assume that the digit can be anywhere inside the frame such that the entire digit is still contained in the frame. This means that in addition to the noise in the color the digit can have a shift that is distributed as  $\sim \mathcal{N}(.5, 7.5)$  in both the X and Y direction. Again this distribution is approximate because the digit needed to be constrained to the frame and pixels are discrete. This becomes a problem of unknown arrival time but in 2 dimensions so that

$$\begin{aligned} \text{Under } \mathcal{H}_i : X[n] &= s_i[n - n_0, m - m_0] + w[n] \\ i &= 1, 2, \dots, 10 \quad n, m = 1, 2, \dots, 20 \quad n_0, m_0 \in 1, 2, \dots, 16 \end{aligned}$$

The GLRT statistic then becomes a maximization of the test statistic over the arrival time parameters:

$$T(x) = \sum_{n=0}^{N-1} x[n]s_i[n] - \frac{1}{2}\epsilon_i \quad (2)$$

becomes:

$$T_i(x) = \max_{n_0, m_0 \in 1, 16} \sum_{n=n_0, m=m_0}^{n=N-1, m=M-1} x[n]s_i[n, m] - \frac{\epsilon_i}{2}$$

$N, M = 20$

Then we decide  $H_i$  for which  $T_i(x)$  is maximum. Finding the performance of this classifier is difficult because the signals at different arrivals are correlated. Finding the maximum of a sum of correlated signals is difficult. My simulated  $P_e$  was 0.0132 which equates to 66 incorrect decisions out of 5000.

## 6 Problem of unknown digit size

For this problem each digit has a scale that is distributed as  $\sim \mathcal{U}(1, 3)$ . The process I used to scale digits assumes discrete scales so as to avoid dealing with interpolation. In a future version of the project a different scaling algorithm could be developed takes interpolation into account. The upper bound of scaling is 3 because the any larger scaling factors would produce digits that are larger than the 20x20 frame. This problem ends up being very similar to the previous one. In fact it is the same detector maximized over the scaling parameter instead of the translation parameters such that we decide  $\mathcal{H}_i$  for which:

$$T_i(x) = \max_{s \in 1,3} \sum_{n=0}^{N-1} x[n] s_i[n, s] - \frac{\epsilon_i}{2}$$

It is important to remember that  $s$  is not an amplitude because scaling a digit changes the nature of the signal. My simulated  $P_e$  was 0. I think it makes sense to have a smaller  $P_e$  in comparison to the problem of unknown location because when the digits are larger but the frame remains the same size, thus the energy to noise ratio is increased.

## 7 Problem of unknown digit size and location

Again this problem is just an extension of the previous 2 problems. The detector simply maximizes over the translation paramters as well as the scaling parameters. After simulating the  $P_e$  was .0022 which equates to about 11 errors out 5000.

## 8 Problem of unknown size, location, and rotation

This problem assumes the same unknowns as the previous problem with the added unknown rotation parameter. The rotations of the digits are distributed as  $\sim \mathcal{U}(-\frac{\pi}{4}, \frac{\pi}{4})$  in radians. For the same reason that the scaling process was very limited the rotation process is very approximate. The rotation process does not interpolate at all so some of the images can be good (see figure 3) and some can look very skewed (see figure 4). That being said, the detector is again very similar to the previous ones, it just maximizes over another parameter set such that we decide  $\mathcal{H}_i$  for which:

$$T_i(x) = \max_{n_0, m_0 \in 1, 16} \max_{s \in 1, 3} \max_{r \in -\frac{\pi}{4}, \frac{\pi}{4}} \sum_{n=n_0, m=m_0}^{n=N-1, m=M-1} x[n] s_i[n, m, s, r] - \frac{\epsilon_{i,s,r}}{2} \quad (3)$$

$N, M = 20$

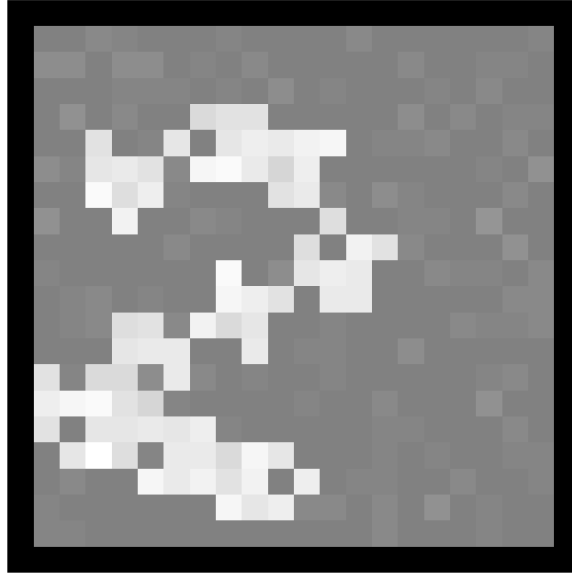


Figure 3: An example of a good rotation.

After using this detector my simulated performance  $P_e$  was .0076 which equates to 38 errors out of 5000.

## 9 Problem of written digits

For all the previous problems the detector didn't really change between them, it just maximizes over more and more parameters. What's more is that I generated the data, and classified using the same translation, scaling and rotation processes, so of course my error rates are going to be low i.e.  $P_e < .05$ . In order to give the detector a real test I wanted to use it on more challenging data set. For this problem I have a set of 5000 written digits that I want to classify. A sample of those digits can be seen in figure 5. After just applying the same detector from the previous section I get a  $P_e = .6884$ . That is not very good but considering that random classification would result in a  $P_e = .9$  it becomes comparably much better. One thing that might be noticed when comparing the printed digits to the written digits is the difference in edges. The printed digits have "sharp" edges as in they will have potentially very large differences in adjacent pixels whereas the written digits are a little bit smoother. So as an extension of this detector I first smoothed the template digit. That means running every  $s[n, m, s, r]$  from equation 3 through a simple 3x3 pixel averager. After using this detector with blurred templates my simulated performance was decreased to  $P_e = .5174$ . Again that is not very good but it is much better than random chance of  $P_e = .9$  and it is even significantly better than the previous  $P_e = .6884$  with about a 17% improvement. A breakdown of the performance can be seen in figure 6. The rows of figure 6 represent what the digit was and the columns represent what the detector chose. So all

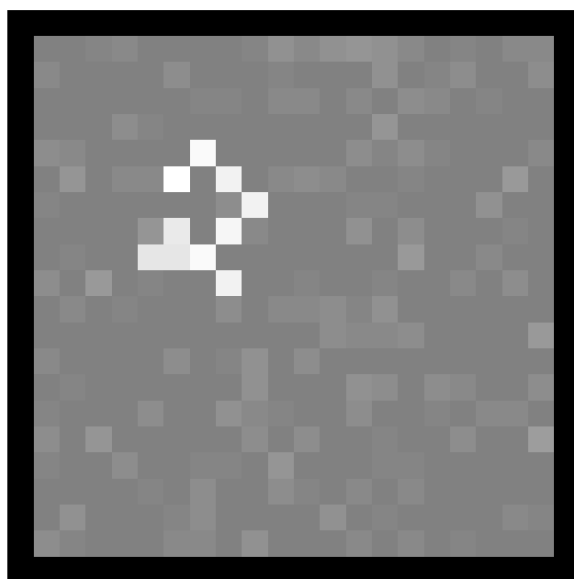


Figure 4: An example of a bad rotation.

the rows should sum up to 500 because there were 500 of each digit. An example of reading the table is as follows: Looking only at row '2' would be looking at all the sample digits that were actually '2'. The detector falsely classified the digit '2' as the digit '1' 79 times. It correctly classified it 207 times. It falsely classified the digit '2' as the digit '3' 68 times so on and so forth. As can be seen the detector classifies almost perfectly all the '1's. A lot of '6's got classified as '5's. That makes sense because those digits look pretty similar. I'm guessing that very few things got classified as '8's because the templates had more power than any written digits had. Because the template for '8' has a high energy the energy compensation term of  $-\frac{\epsilon}{2}$  would drive down the test statistic of any potential '8's causing them to be classified as something else. I would speculate that is because most people draw '8's as more slender than a printed '8' would look leading to a template that has much more energy than the written digit. I think that the classifier could perform even better if I was to develop more robust translation, scaling, and rotation processes that incorporated interpolation. However I will note that at that point it might take prohibitively long to do the calculations for even this relatively small (5000 samples) data set. At that point a different approach, perhaps involving machine learning, might be better suited for this problem.



Figure 5: A sampling of the written digits.

digit	1	2	3	4	5	6	7	8	9	0
1	492	4	0	0	0	0	3	0	1	0
2	79	207	68	40	5	2	43	24	7	25
3	101	33	160	8	70	2	37	14	20	55
4	8	19	5	353	17	18	22	19	19	20
5	14	23	27	19	252	16	53	9	15	72
6	8	25	8	23	118	227	1	11	41	38
7	143	11	9	3	2	1	313	6	3	9
8	149	62	41	22	89	25	11	48	39	14
9	70	4	13	111	32	15	94	8	110	43
0	1	4	41	2	4	92	26	22	57	251

Figure 6: A breakdown of the results for classifying written digits with blurred templates.